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PATENT  
Attorney Docket No. 3204.0063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

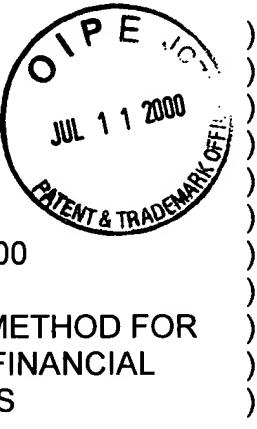
Joseph A. GIORDANO

Serial No.: 09/505,721

Filed: February 17, 2000

For: SYSTEM AND METHOD FOR  
PROCESSING FINANCIAL  
TRANSACTIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231



22852

PATENT TRADEMARK OFFICE

Group Art Unit: Unassigned

Examiner: Unassigned

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the document listed on the attached PTO 1449. This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Each document listed in this Information Disclosure Statement was cited in an International Search Report for related application PCT/US00/04163, mailed May 16, 2000. Copies of the listed documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

LAW OFFICES

FINNEGAN, HENDERSON,  
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& DUNNER, L.L.P.  
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202-408-4000

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

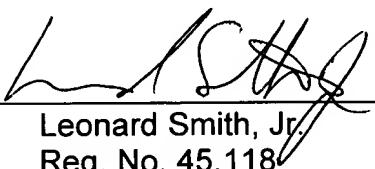
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

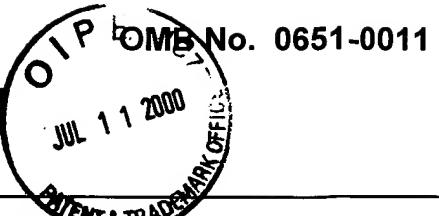
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Leonard Smith, Jr.  
Reg. No. 45,118

Date: July 11, 2000



**INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)**  
PAGE 1

Atty. Docket No. 03204.0063		Serial No. 09/505,721				
Applicant Joseph A. GIORDANO						
Filing Date February 17, 2000	Group Not Yet Assigned					
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,220,501	06/15/93	Lawlor et al.			
	5,821,525	10/13/98	Takebayashi			
	5,923,016	07/13/99	Fredregill et al.			
	5,993,047	11/30/99	Novogrod et al.			
	6,000,607	12/14/99	Ohki et al.			
	6,044,349	03/28/00	Tolopka et al.			
Examiner		Date Considered				
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce				